

# Shading

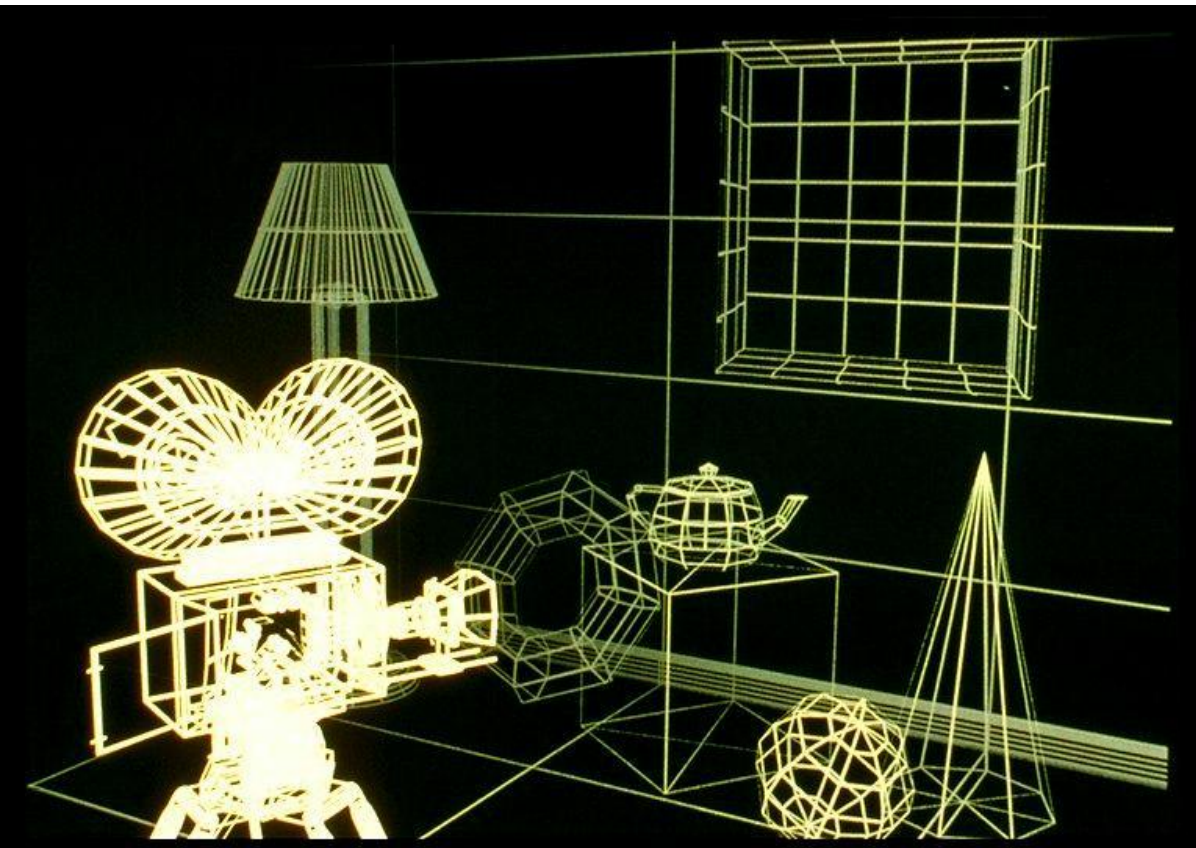
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SURFACE RENDERING  
METHODS

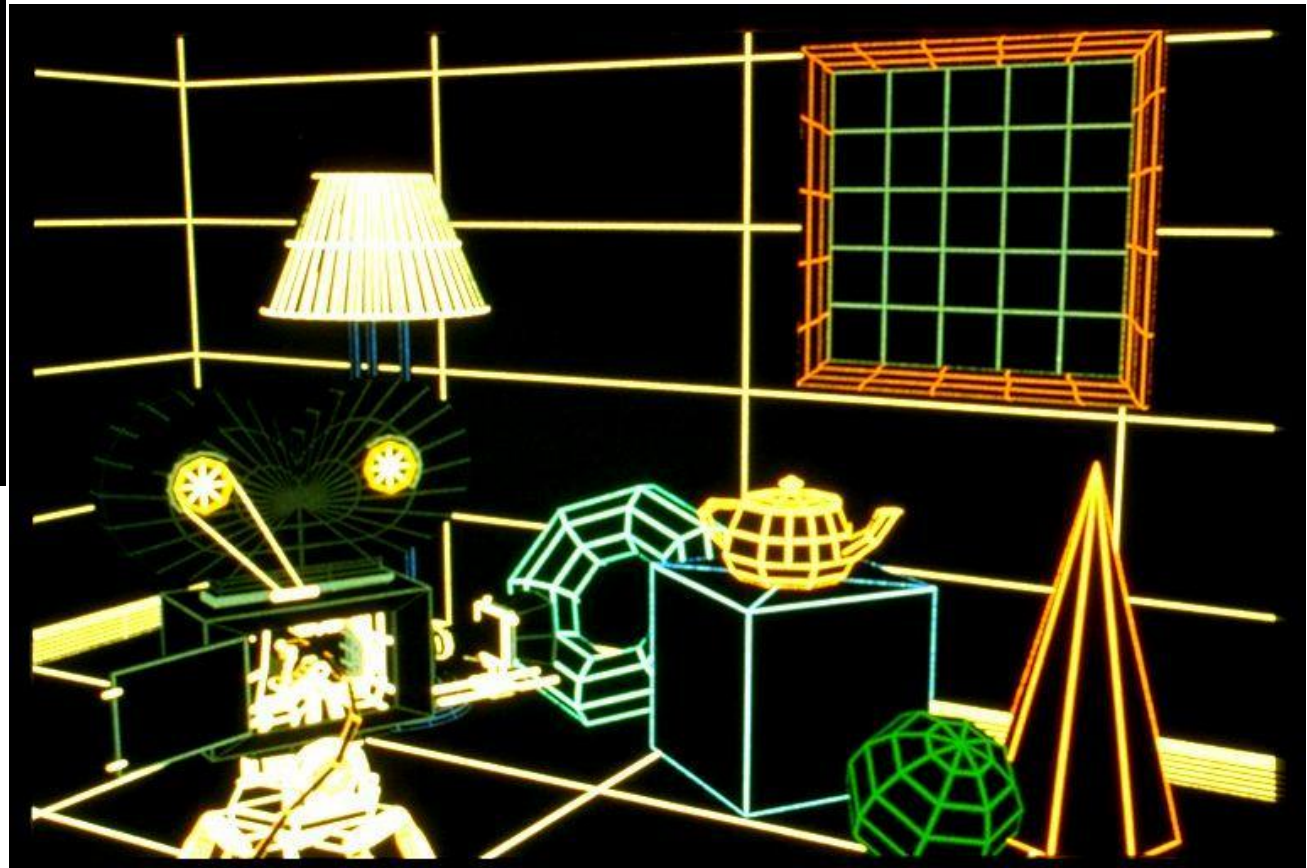
# Contents

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- Today we will start to look at rendering methods used in computer graphics
  - Flat surface rendering
  - Gouraud surface rendering
  - Phong surface rendering

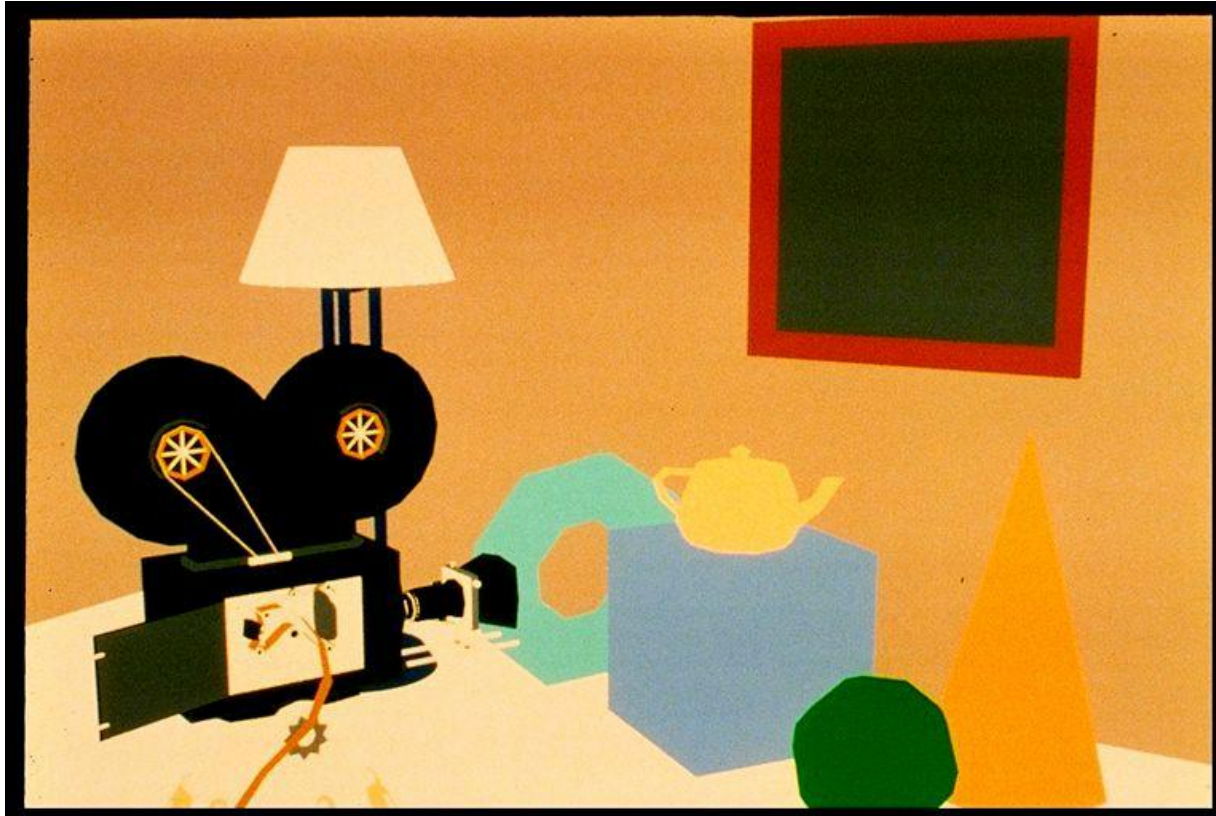


Wireframe model of a scene

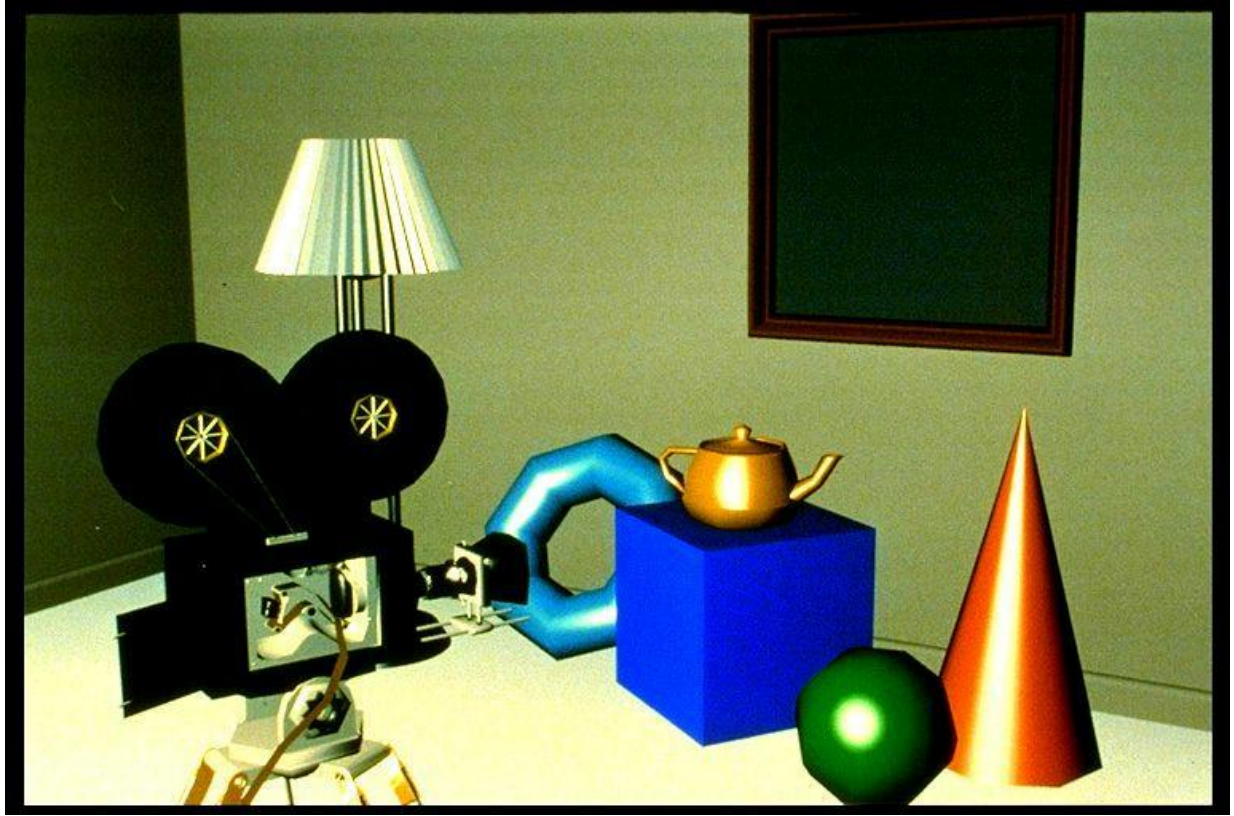




# No Surface Rendering Vs Surface Rendering



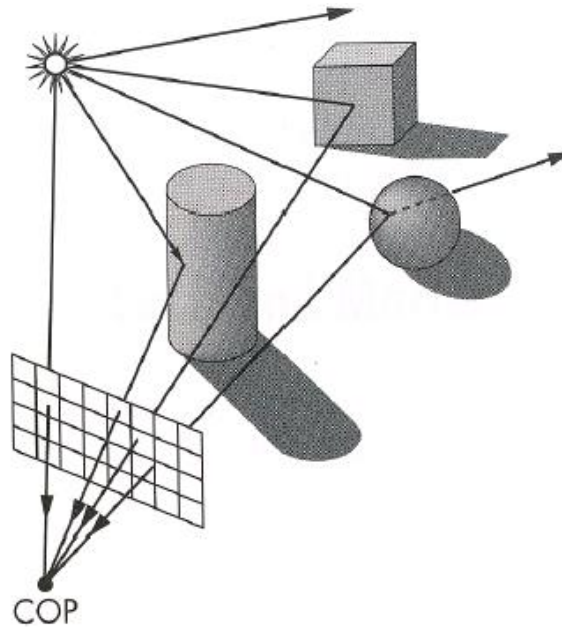
Object Rendering



With Surface Rendering

# Surface Rendering: Shading

- ❑ Determine a Color for Each Filled Pixel
- ❑ How to Choose a Color for Each Filled Pixel
  - Each illumination calculation for a ray from the eyepoint through the view plane provides a radiance sample



# Shading

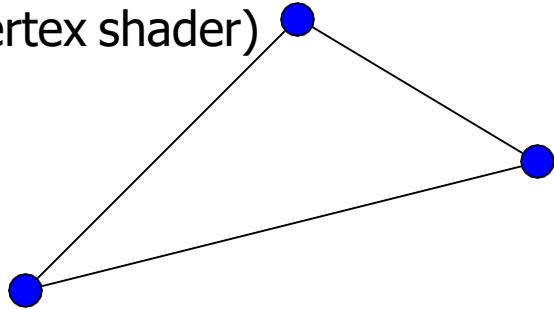
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- ❑ Surface rendering means ***a procedure for applying a lighting model to obtain*** pixel intensities for all the projected surface positions in a scene.
- ❑ A surface-rendering algorithm uses the intensity calculations from an illumination model to determine the light intensity for all projected pixel positions for the various surfaces in a scene.
- ❑ Surface rendering can be performed by applying the illumination model to every visible surface point

# Shading?

- After triangle is rasterized (converted to pixels)
  - Per-vertex lighting calculation means color at vertices is accurate, known (red dots)
- Shading: Graphics hardware figures out color of interior pixels (blue dots)
- How? Assume linear change => interpolate

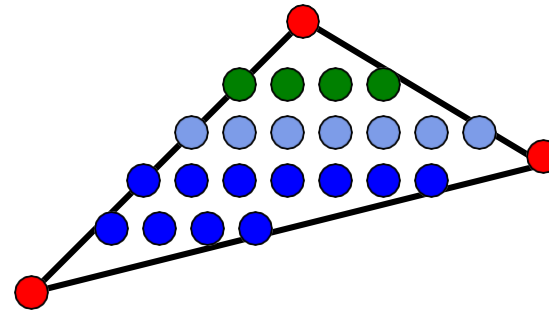
Lighting  
(calc at vertices  
in vertex shader)



Rasterization  
Find pixels belonging  
to each object



Shading  
(done in hardware  
during rasterization)



# Shading Methods

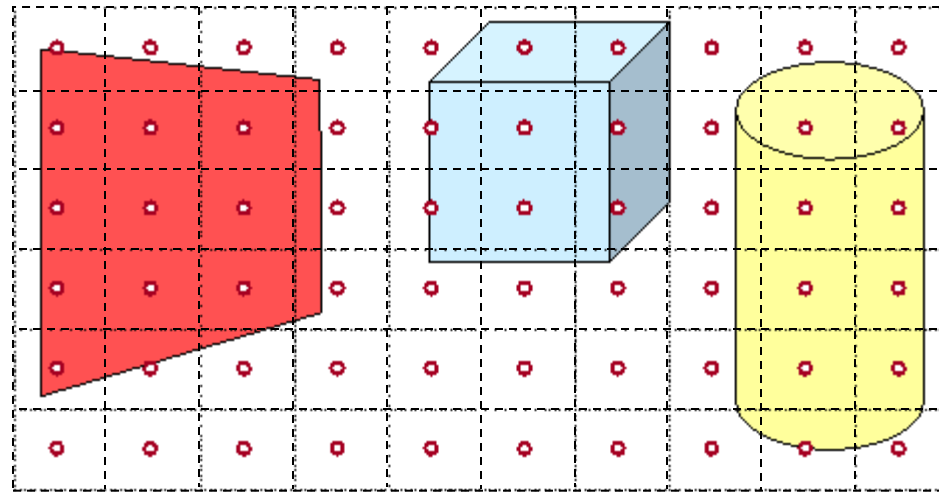
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- ❑ Ray Casting
  - Polygon Shading
- ❑ Ray Tracing
- ❑ Radiosity



# Ray Casting

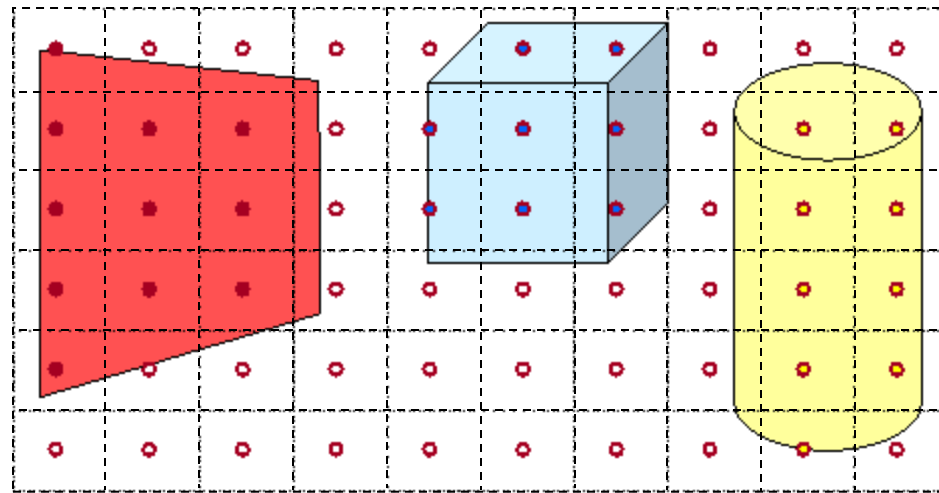
- ❑ Simplest Shading Approach
  - Perform independent lighting calculation for every pixel



$$I = I_E + K_A I_{AL} + \sum_i (K_D (N \cdot L_i) I_i + K_S (V \cdot R_i)^n I_i)$$

# Polygon Shading

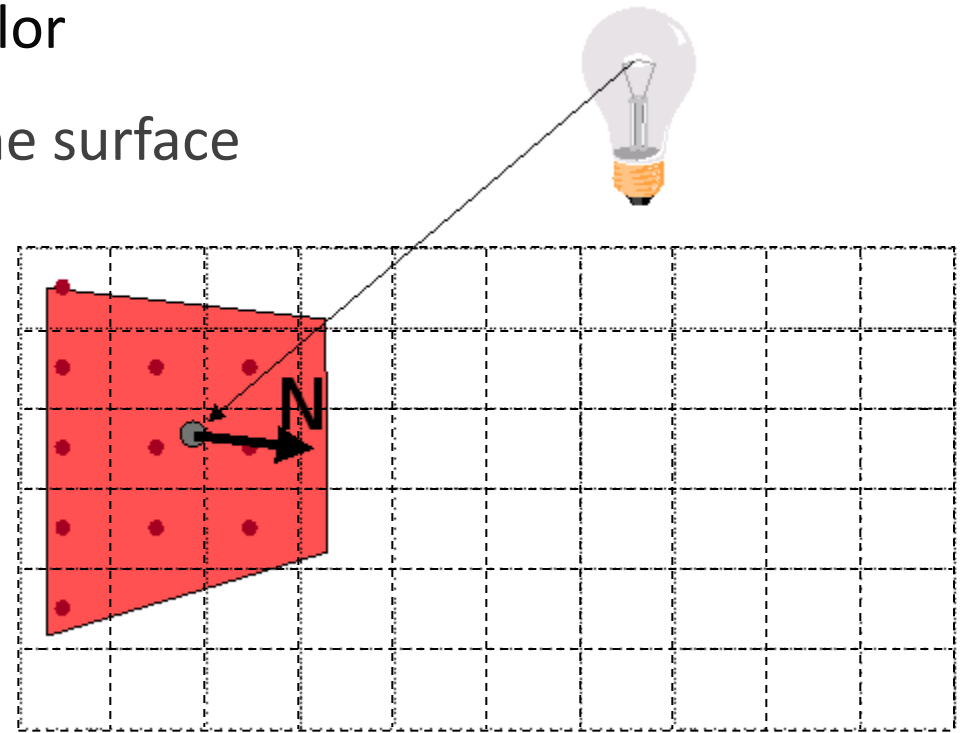
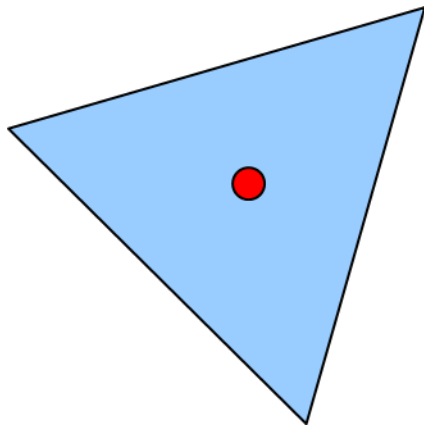
- ❑ Can Take Advantage of Spatial Coherence
  - Illumination calculations for pixels covered by same primitive are related to each other



$$I = I_E + K_A I_{AL} + \sum_i (K_D (N \cdot L_i) I_i + K_S (V \cdot R_i)^n I_i)$$

# Flat Shading

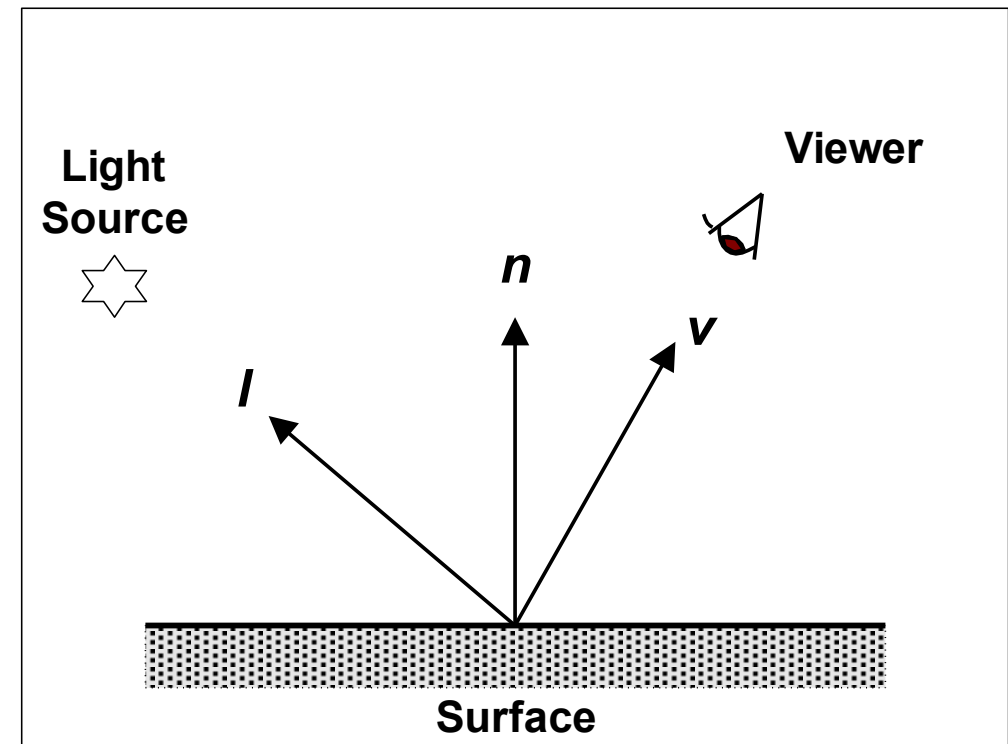
- ❑ Simplest method, same color is assigned to all surface positions
- ❑ One Illumination Calculation per Polygon
  - Assign all pixels inside each polygon the same color
- ❑ Illumination at a single point (usually center) on the surface is calculated and used for the entire surface



# Flat Surface Rendering

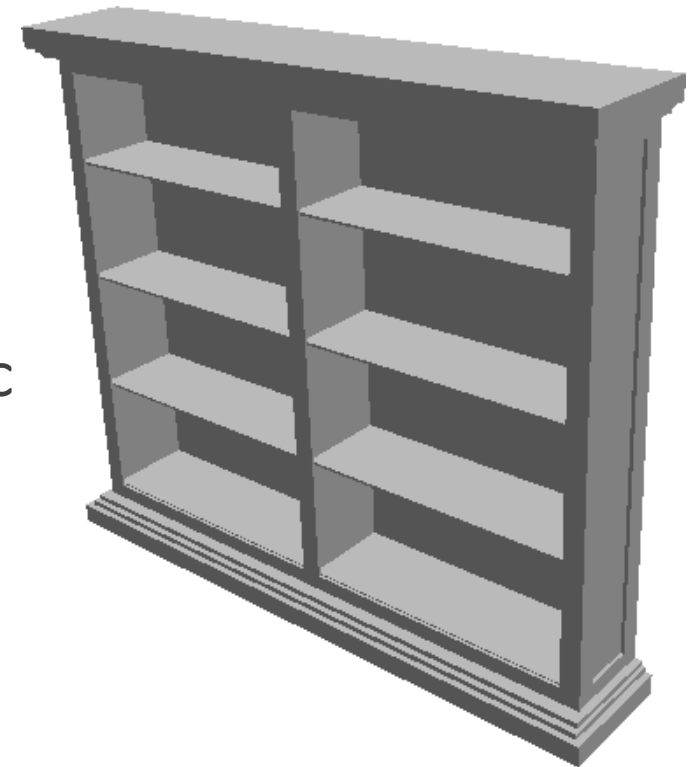
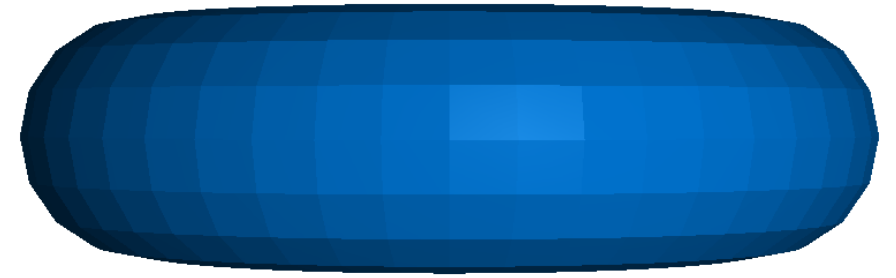
- Assumptions - For each surface
  - Light source at infinity  $\vec{n} \cdot \vec{l}$  is constant
  - Viewer at infinity  $\vec{n} \cdot \vec{v}$  is constant
  - The polygon represents the actual surface being modeled

$$I = I_E + K_A I_{AL} + \sum_i (K_D (N \cdot L_i) I_i + K_S (V \cdot R_i)^n I_i)$$

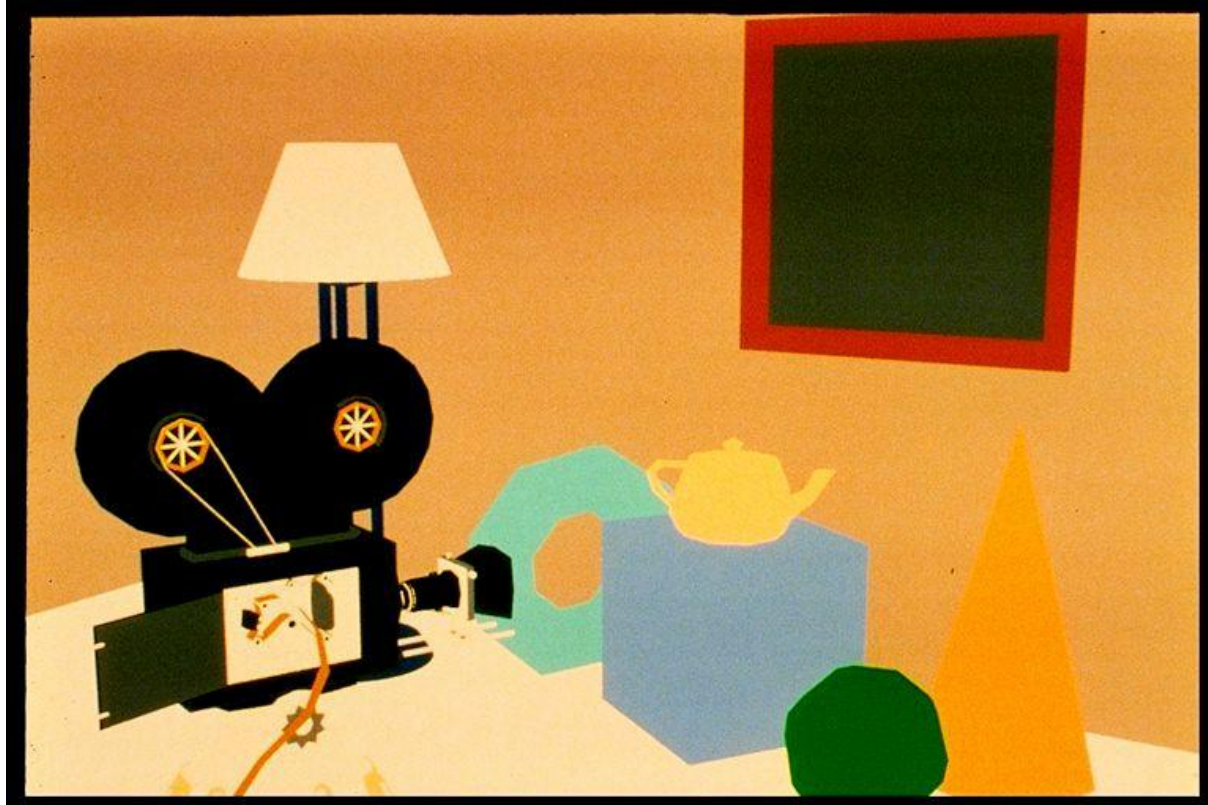


# Flat Surface Rendering

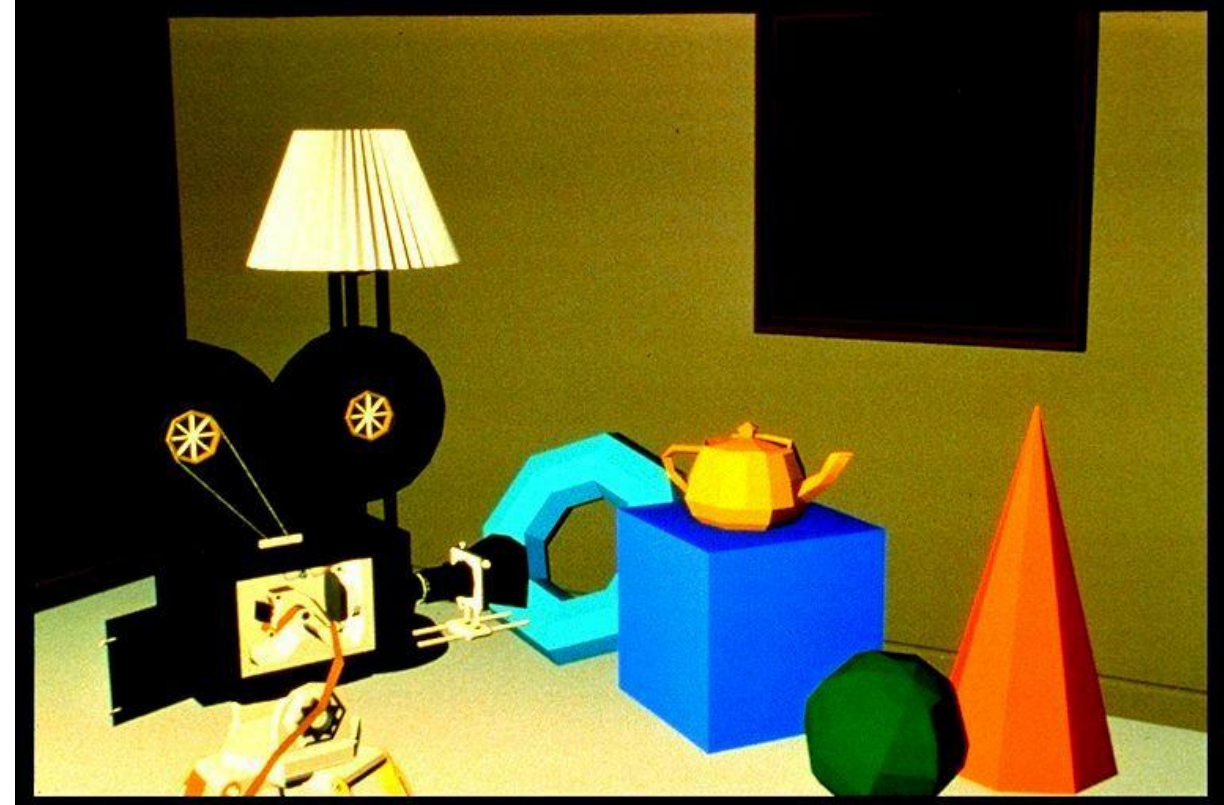
- ❑ Ok if:
  - Object consists of planar faces, and
  - Light sources are far away, and
  - Eye point is far away,
- ❑ or
  - Polygons are about a pixel in size.
- ❑ Surface rendering is extremely fast, but can be unrealistic
  - Highlights not visible,
  - Facetted appearance, increased by Mach banding effect.



## No Surface Rendering Vs Flat Surface Rendering



No Surface Rendering

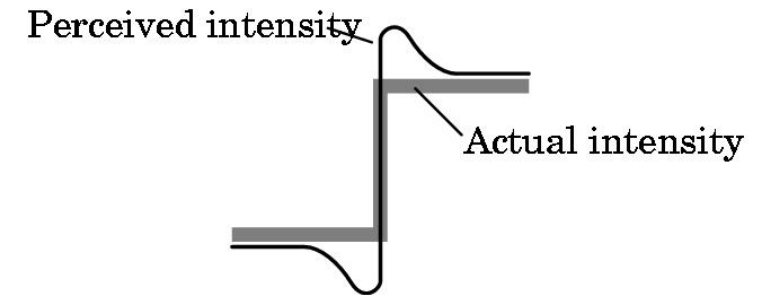
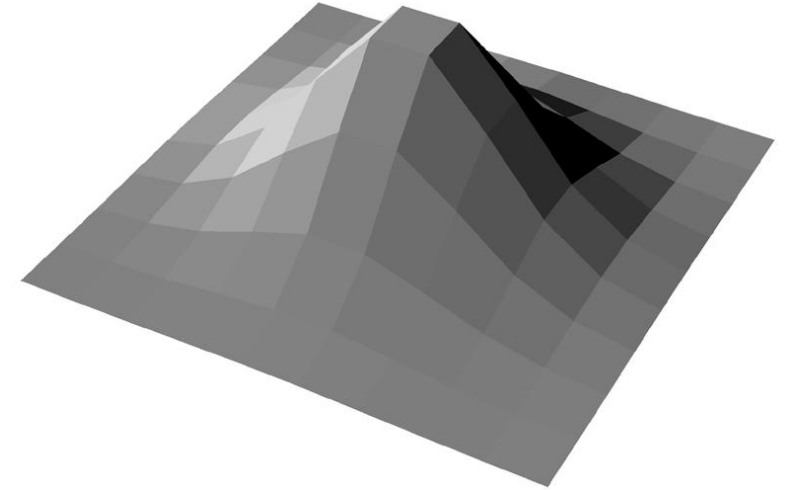
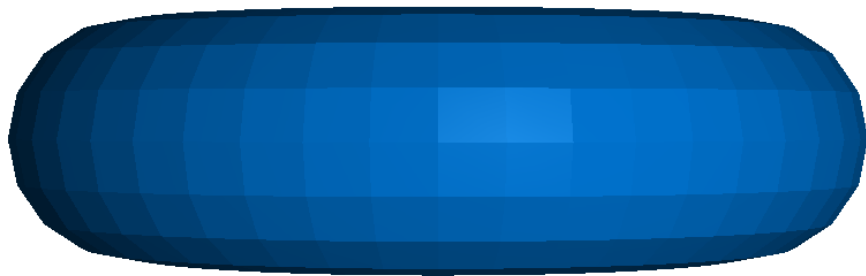


Flat Surface Rendering

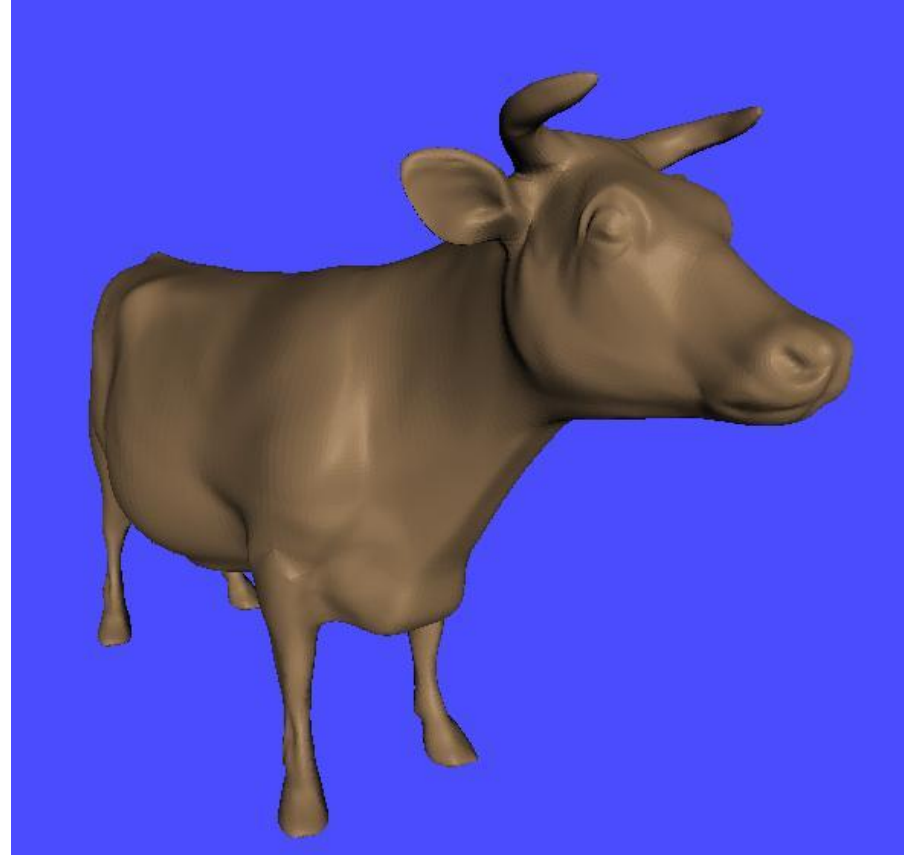


# Flat shading drawbacks

- ❑ The human visual system enhances edges
- ❑ We see stripes (known as Mach Bands) along edges
- ❑ Much like a sharpening convolution!
- ❑ How to avoid?



# Overcoming Flat Shading Limitations



- ❑ Just add lots and lots of polygons – however, this is SLOW!

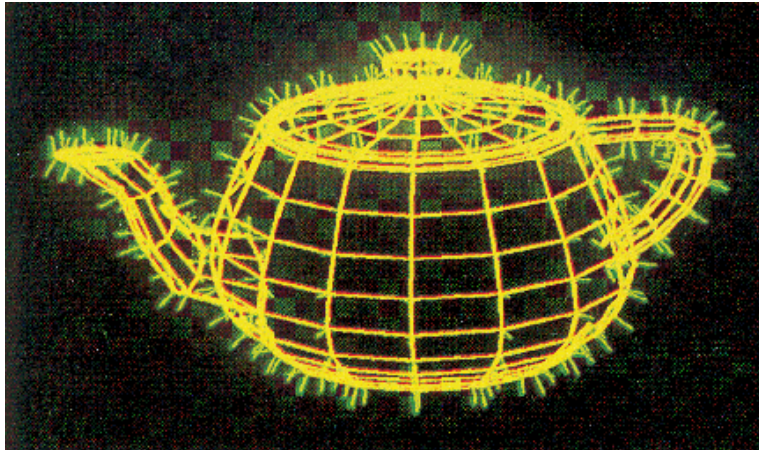
# Gouraud Shading

- ❑ Developed in the 1970s by Henri Gouraud
- ❑ Worked at the University of Utah along with Ivan Sutherland and David Evans
- ❑ Often also called **intensity- interpolation surface rendering**
- ❑ Intensity levels are calculated at each vertex and interpolated across the surface



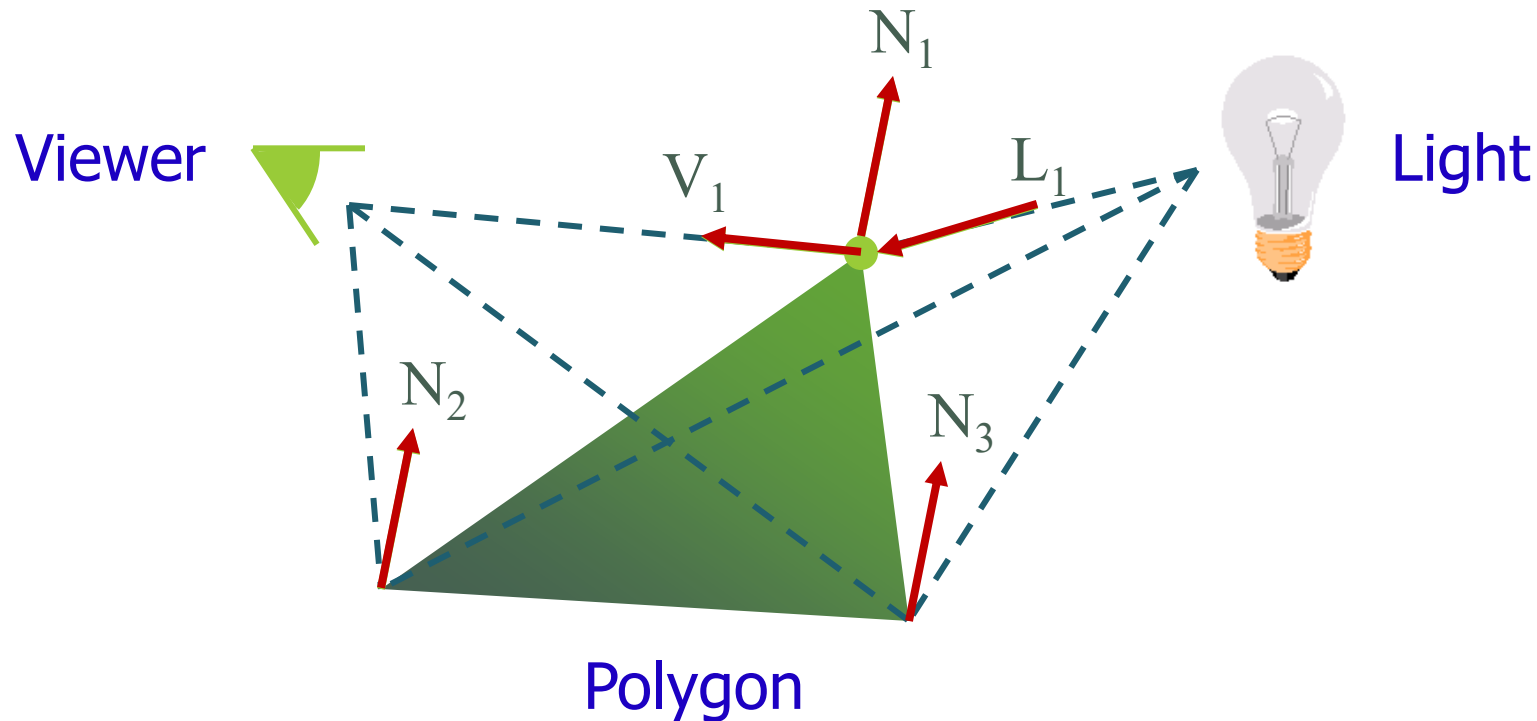
# Gouraud Shading

- ❑ Smooth Surface are
  - Represented by polygonal mesh with a normal at each vertex



# Gouraud Shading

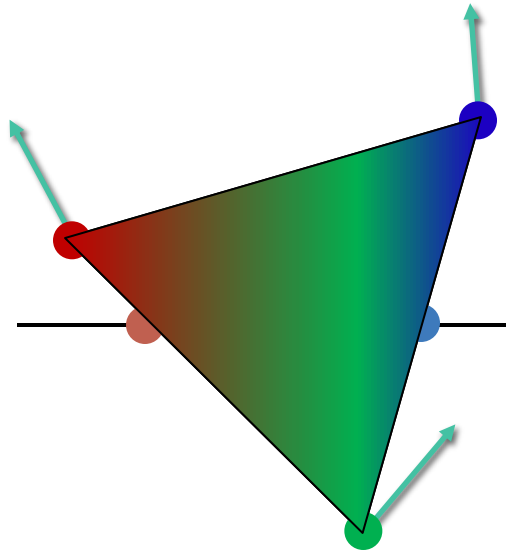
- ❑ One Lighting Calculation per Vertex
  - Assign pixels inside polygon by interpolating/ lerping colors computed at vertices



$$I = I_E + K_A I_{AL} + \sum_i (K_D (N \cdot L_i) I_i + K_S (V \cdot R_i)^n I_i)$$

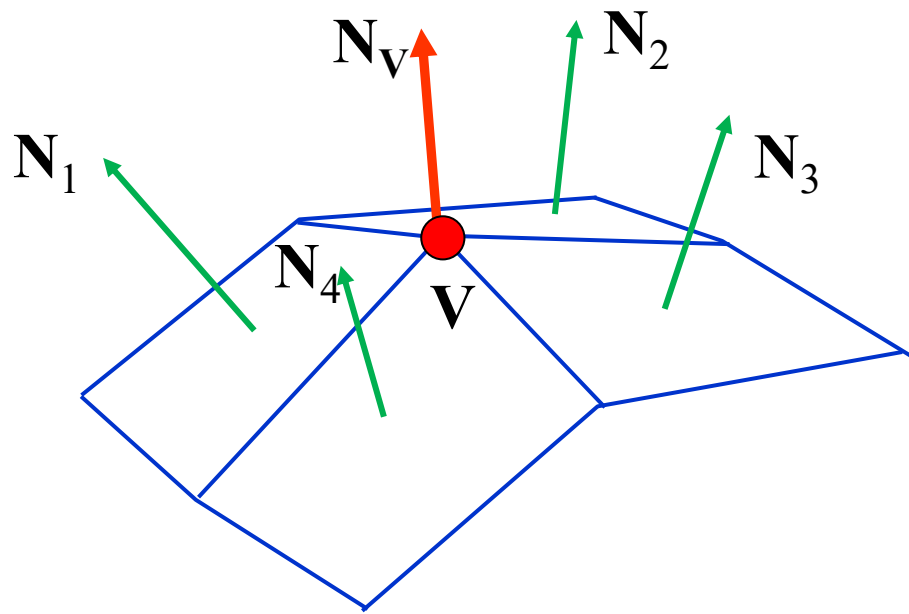
# Gouraud Shading

- ❑ To render a polygon, Gouraud surface rendering proceeds as follows:
  1. Determine the average unit **normal** vector **at each vertex** of the polygon
  2. **Apply an illumination model** at each polygon vertex to **obtain** the **light intensity** at that position
  3. **Linearly interpolate** the vertex intensities over the projected area of the polygon





# Gouraud Shading



- The average unit normal vector at  $V$  is given as:

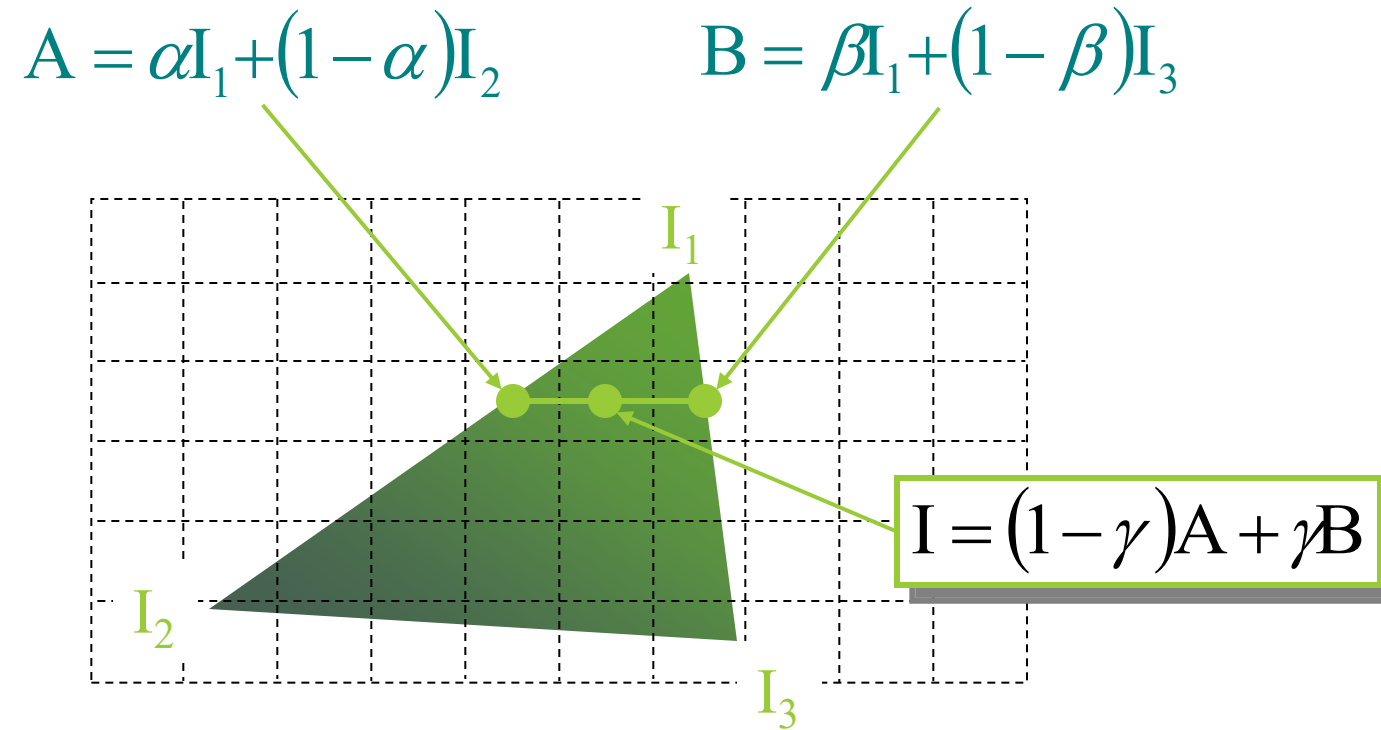
$$N_v = \frac{N_1 + N_2 + N_3 + N_4}{|N_1 + N_2 + N_3 + N_4|}$$

- or more generally:

$$N_v = \frac{\sum_{i=1}^n N_i}{\left| \sum_{i=1}^n N_i \right|}$$

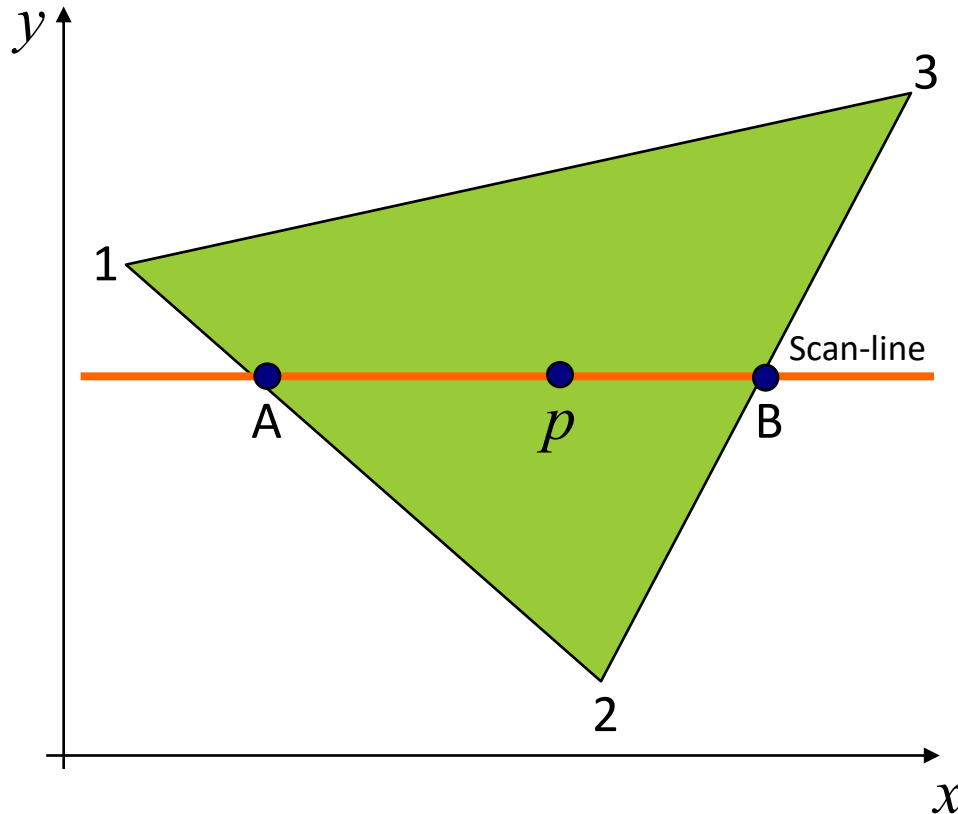
# Gouraud Shading

- Bilinearly Interpolate Colors at Vertices Down and Across Scan Lines



# Gouraud Shading

- Illumination values are bilinearly interpolated across each scan-line



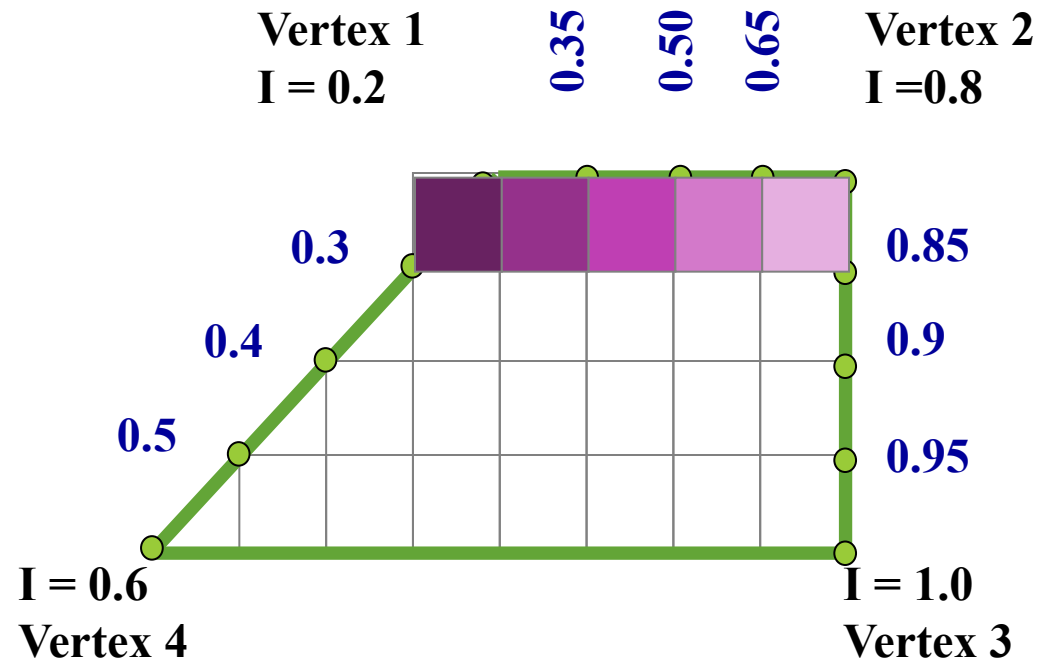
$$I_A = \frac{y_A - y_2}{y_1 - y_2} I_1 + \frac{y_1 - y_A}{y_1 - y_2} I_2$$

$$I_B = \frac{y_B - y_2}{y_3 - y_2} I_3 + \frac{y_3 - y_B}{y_3 - y_2} I_2$$

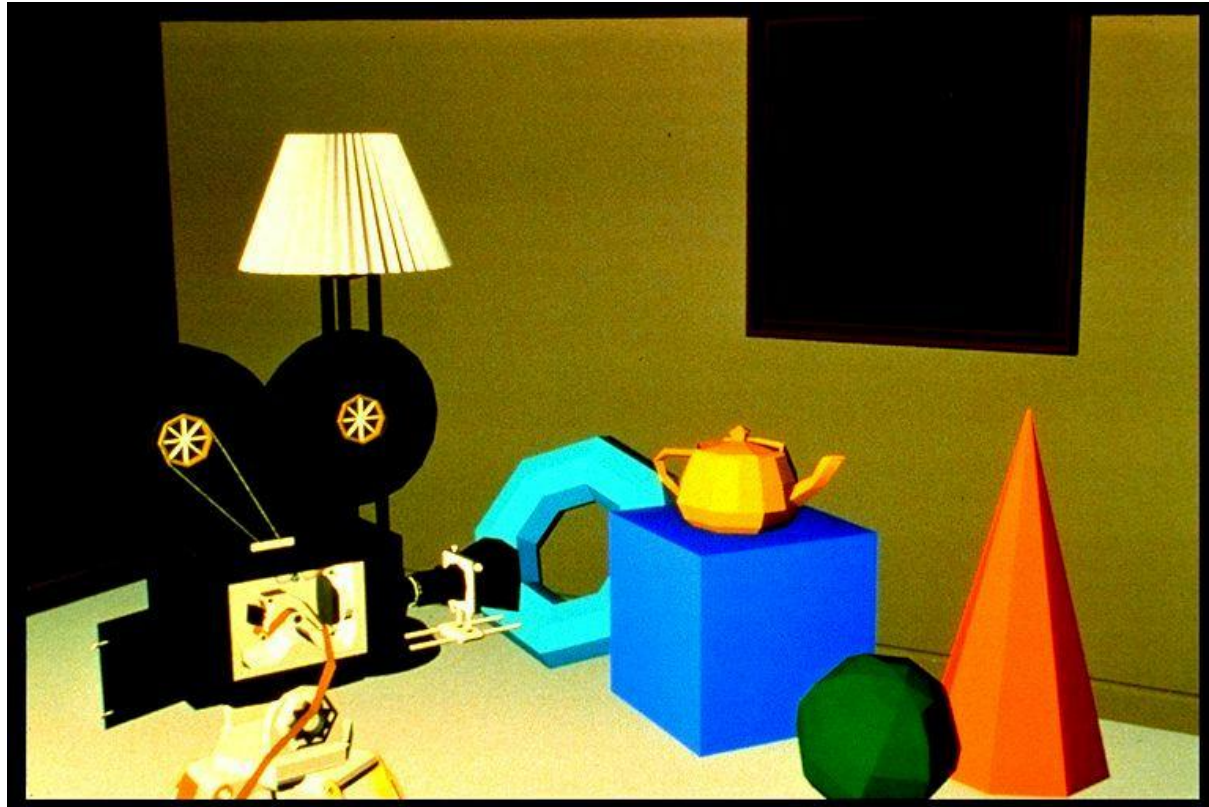
$$I_p = \frac{x_B - x_p}{x_B - x_A} I_A + \frac{x_p - x_A}{x_B - x_A} I_B$$

# Gouraud Shading

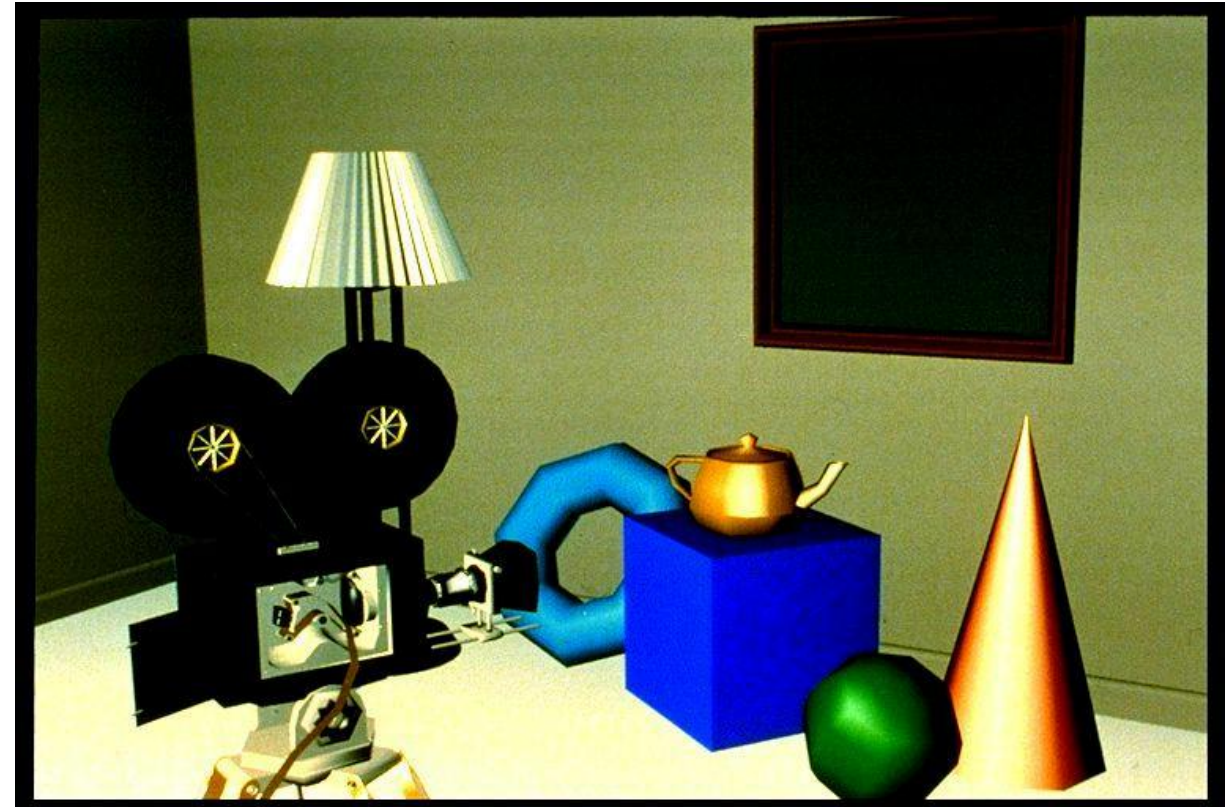
## An Example



# Flat Vs Gouraud Rendering



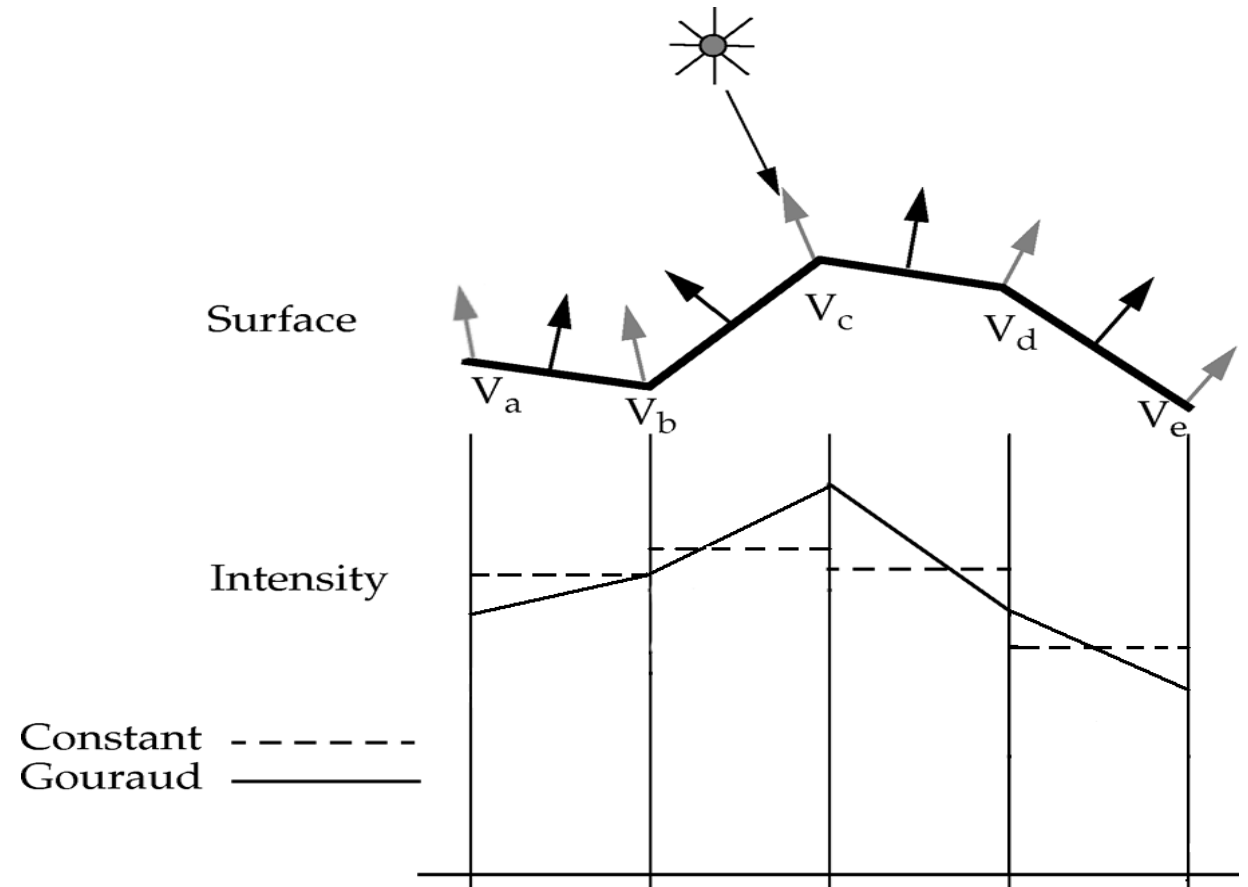
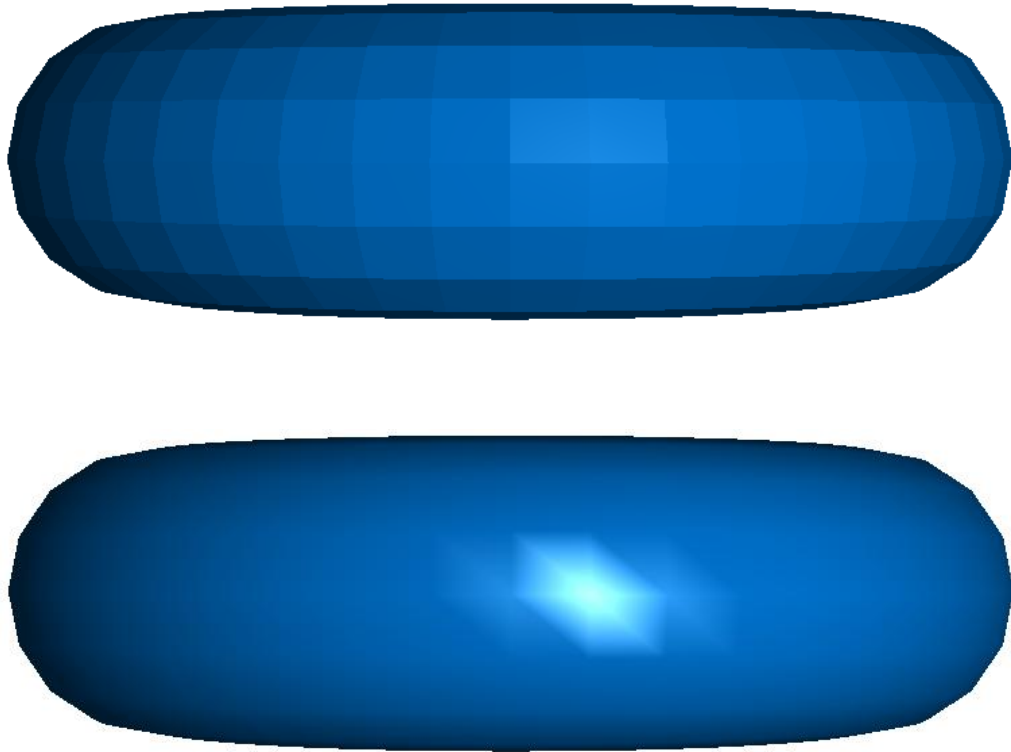
Flat Surface Rendering



Gouraud Surface Rendering

# Gouraud Shading

- ❑ Much better result for curved surfaces





# Gouraud Shading - Drawbacks

- ❑ Polygon edges are still visible
- ❑ Brightness is modelled as a linear function, but that's not really accurate
- ❑ Real highlights are small and bright, and drop off sharply
  - If polygons are too large, highlights get distorted and dimmed (notice the funny shape)
- ❑ How to avoid these artifacts?



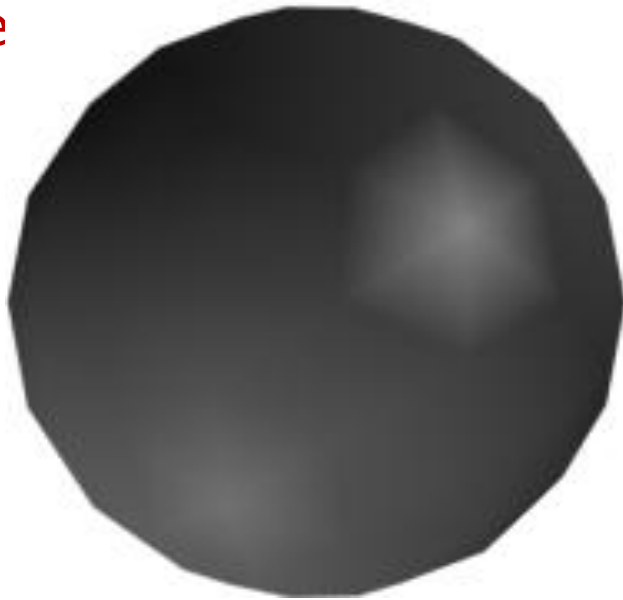
# Gouraud Shading - Drawbacks

❑ It has a problem with

**specular reflections**

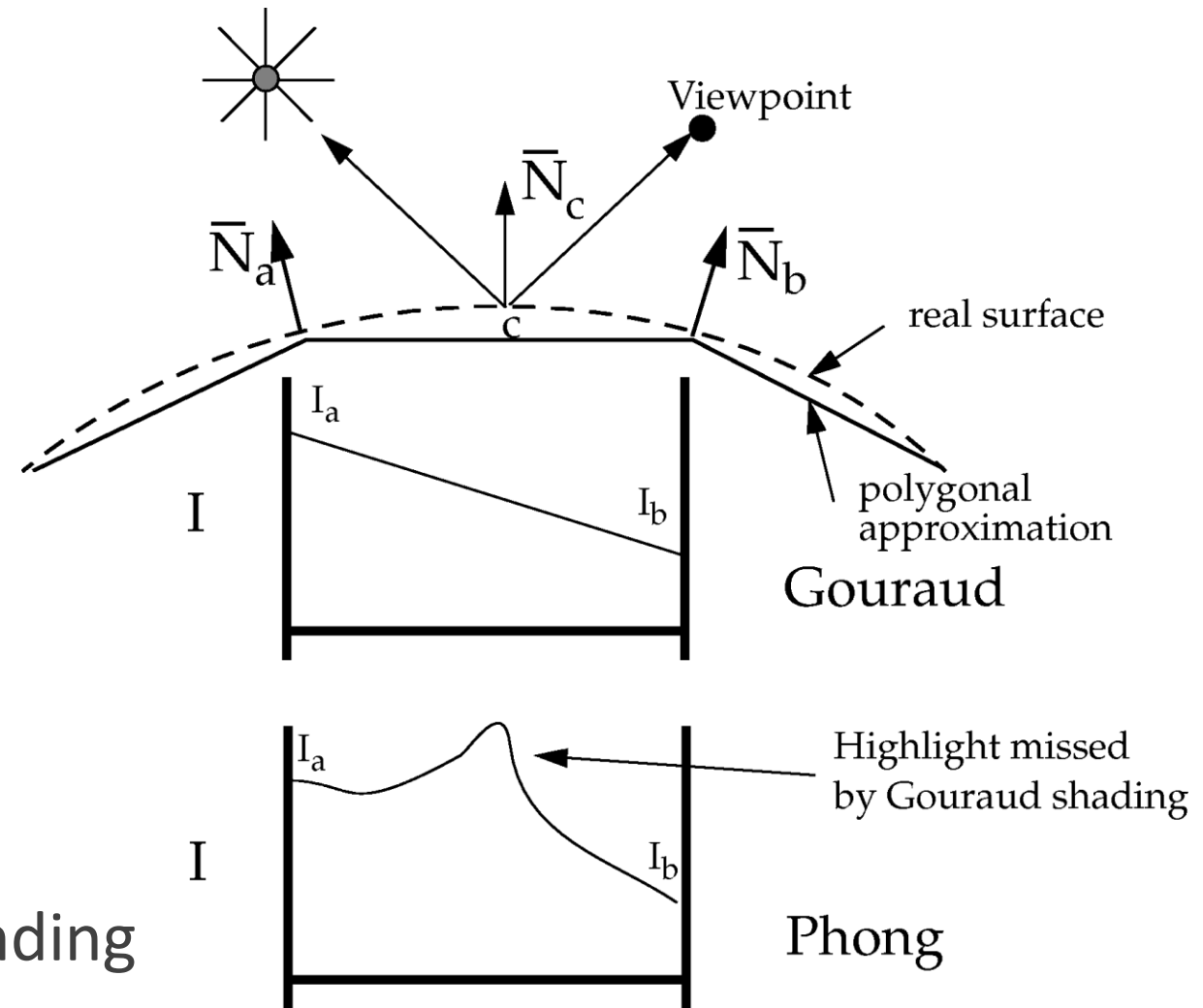
■ Completely miss

■ interpolate



❑ Linear interpolation still gives Mach banding

■ Silhouettes are still not smooth



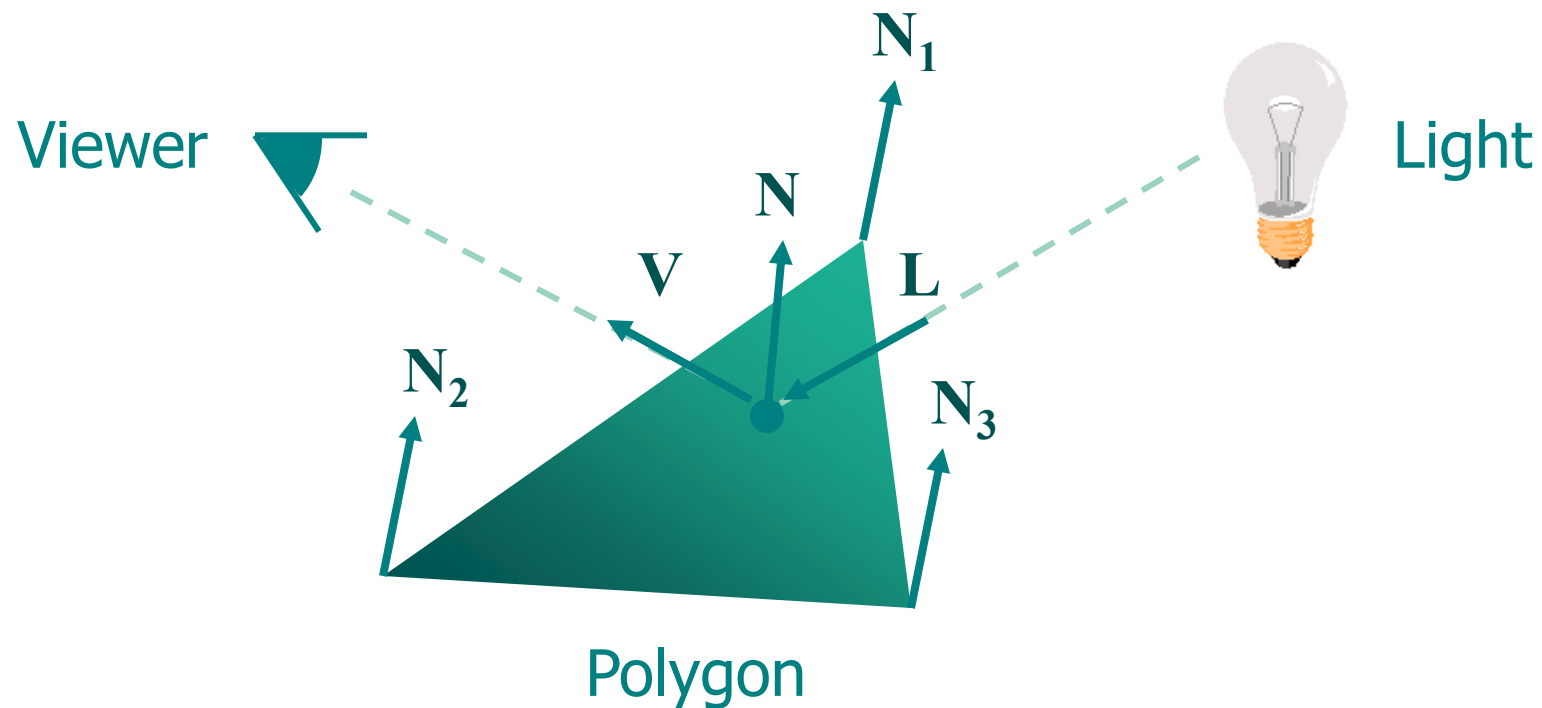
# Phong Shading

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- ❑ A more accurate interpolation based approach for rendering a polygon was developed by Phong Bui Tuong
- ❑ Basically the Phong surface rendering model (or **normal-vector interpolation rendering**) interpolates normal vectors instead of intensity values

# Phong Shading

- ❑ One Lighting Calculation per Pixel
  - Approximate surface normals for points inside polygons by bilinear interpolation of normals from vertices



# Phong Shading

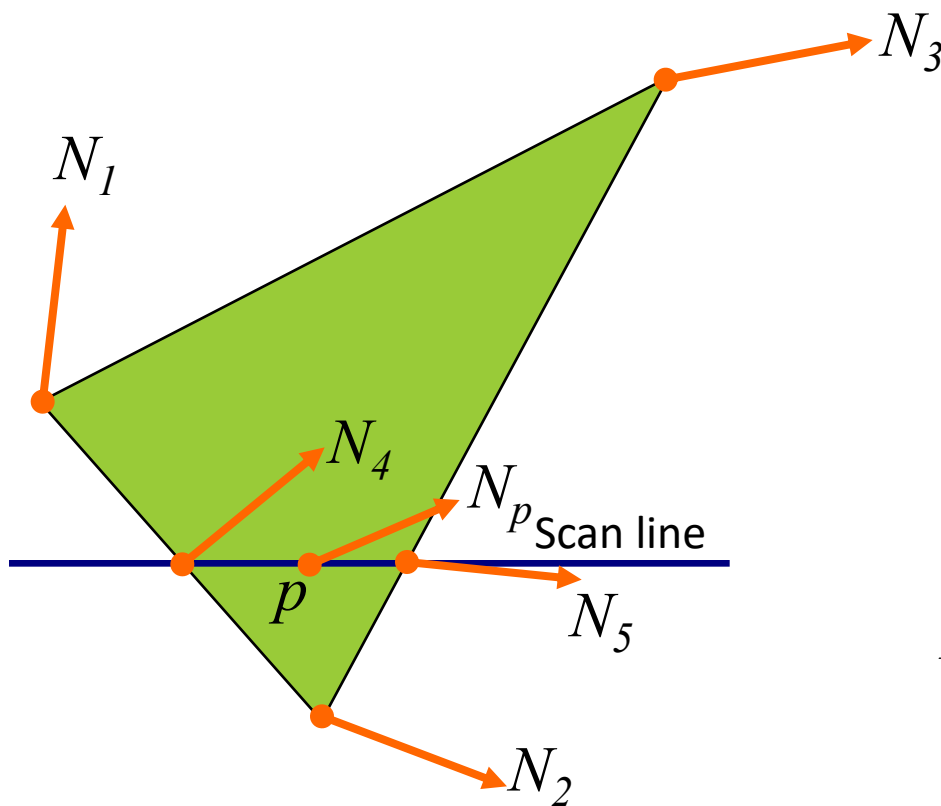
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❑ To render a polygon, Phong surface rendering proceeds as follows:

1. Determine the average unit normal vector at each vertex of the polygon
2. Linearly **interpolate** the vertex **normals** over the projected area of the polygon
  - Normalize it.
  - (Interpolation of unit vectors does not preserve length).
3. Apply an illumination model at positions along scan lines to calculate pixel intensities using the interpolated normal vectors

# Phong Shading

- Bilinearly Interpolate Normals at Vertices Down and Across Scan Lines



$$N_4 = \frac{y_4 - y_2}{y_1 - y_2} N_1 + \frac{y_1 - y_4}{y_1 - y_2} N_2$$

$$N_5 = \frac{y_5 - y_2}{y_3 - y_2} N_3 + \frac{y_3 - y_5}{y_3 - y_2} N_2$$

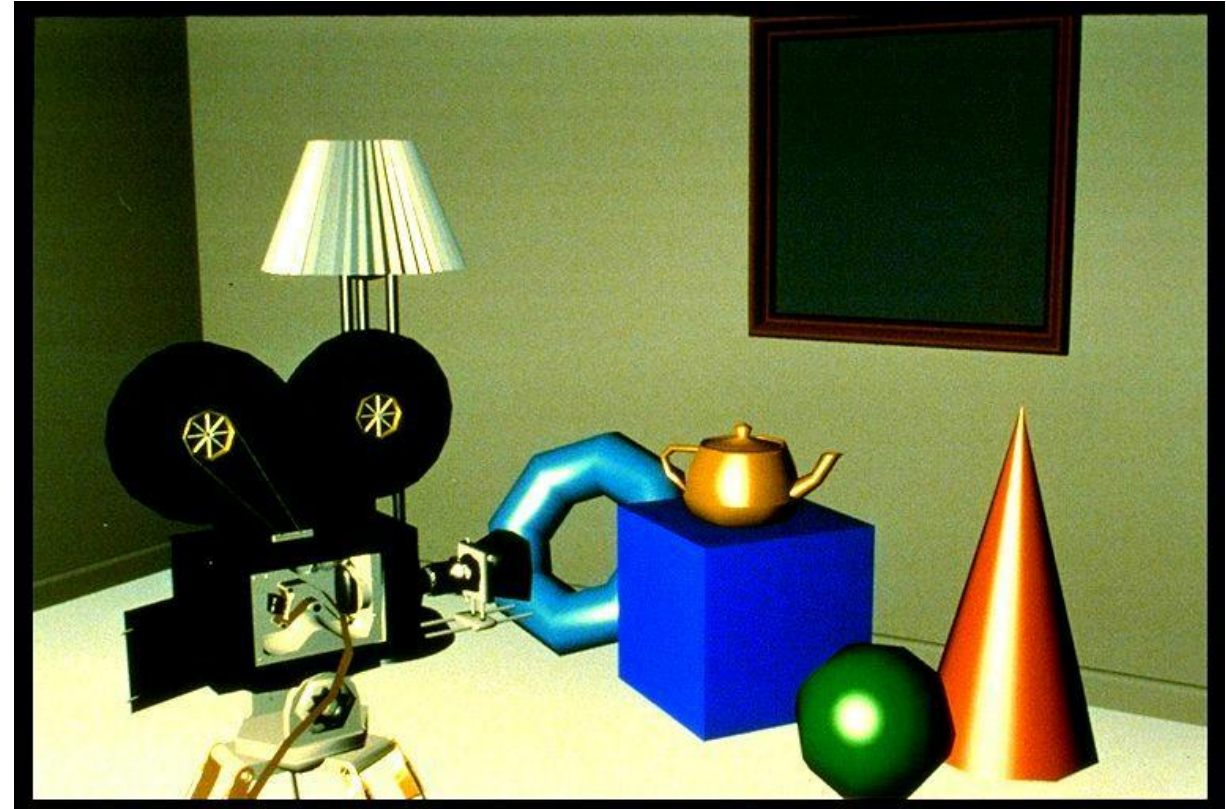
$$N_p = \frac{y_p - y_5}{y_4 - y_5} N_4 + \frac{y_4 - y_p}{y_4 - y_5} N_5$$



# Gouraud Vs Phong Surface Rendering



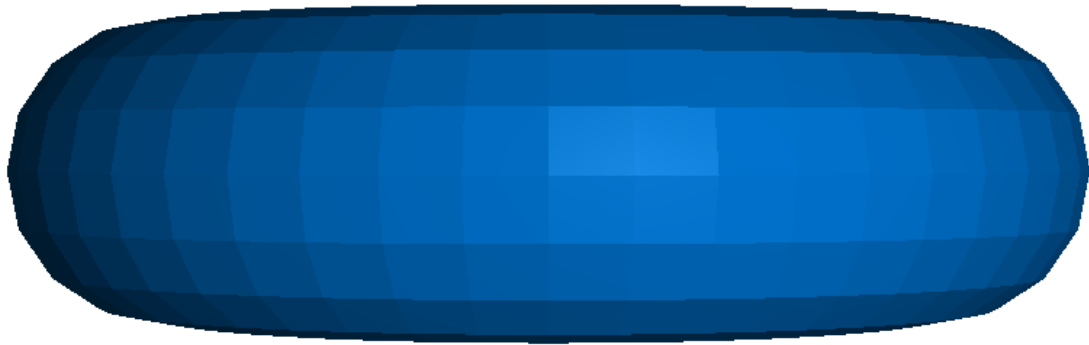
Gouraud Surface Rendering



Phong Surface Rendering

# Phong Shading

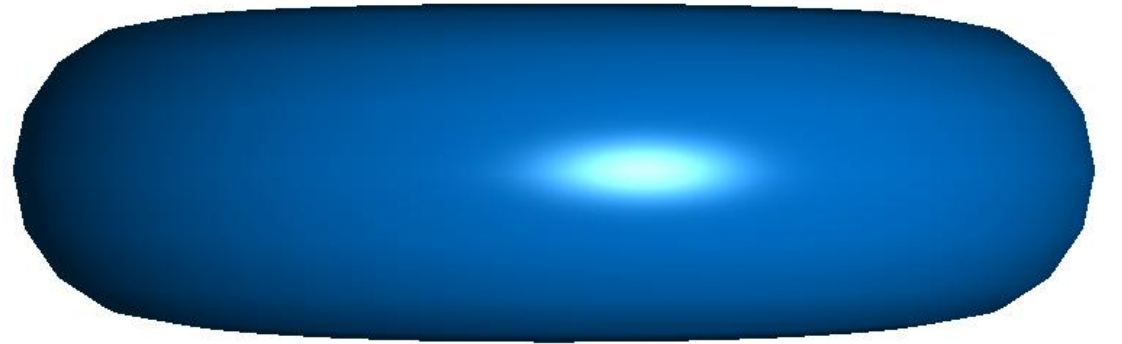
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*Flat*



*Gouraud*



*Phong*

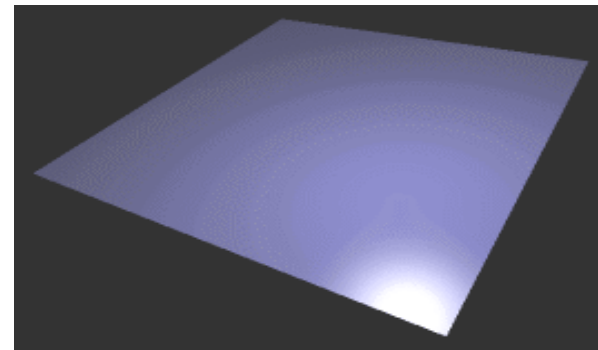
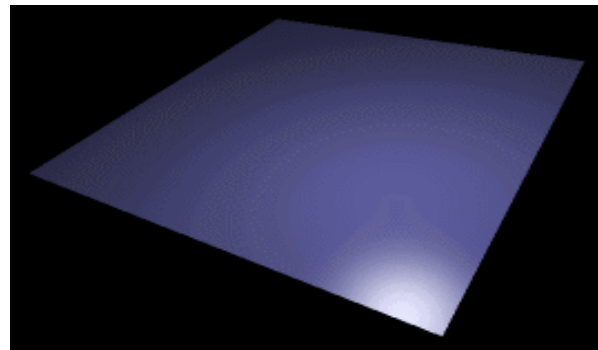
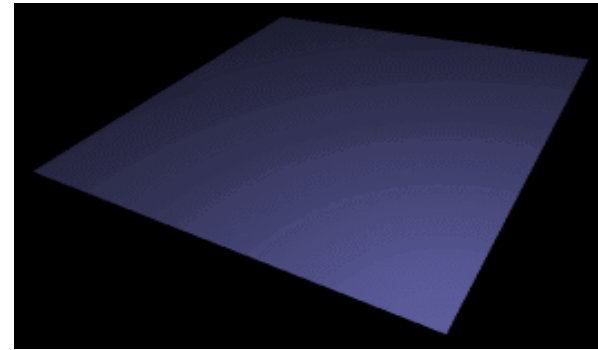
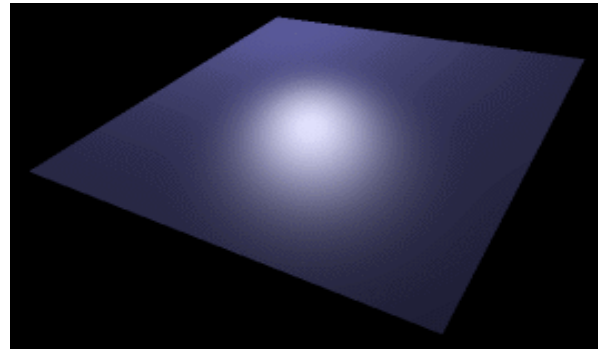
# Phong Shading

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- ❑ Even better result for curved surfaces
- ❑ No errors at high lights
- ❑ No Mach banding
- ❑ Phong shading is much slower than Gouraud shading as the lighting model is reevaluated so many times
- ❑ There are **fast Phong** surface rendering approaches that can be implemented iteratively
- ❑ Typically, implemented as part of a visible surface detection technique
- ❑ **Not supported in OpenGL**

# Phong vs Gouraud Shading

- ❑ If a highlight does not fall on a vertex Gouraud shading may miss it completely, but Phong shading does not.
- ❑ if highlight falls on vertex, Gouraud shading will spread the highlight over the polygon

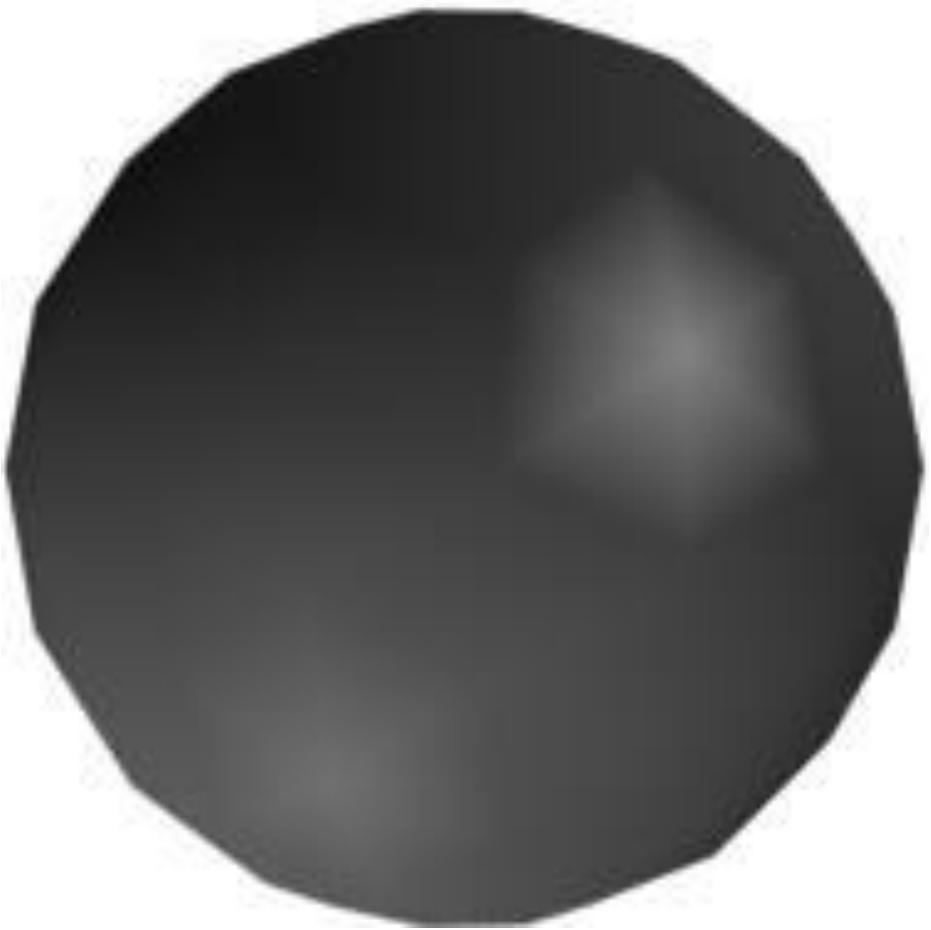


Gouraud Tea Pot Example

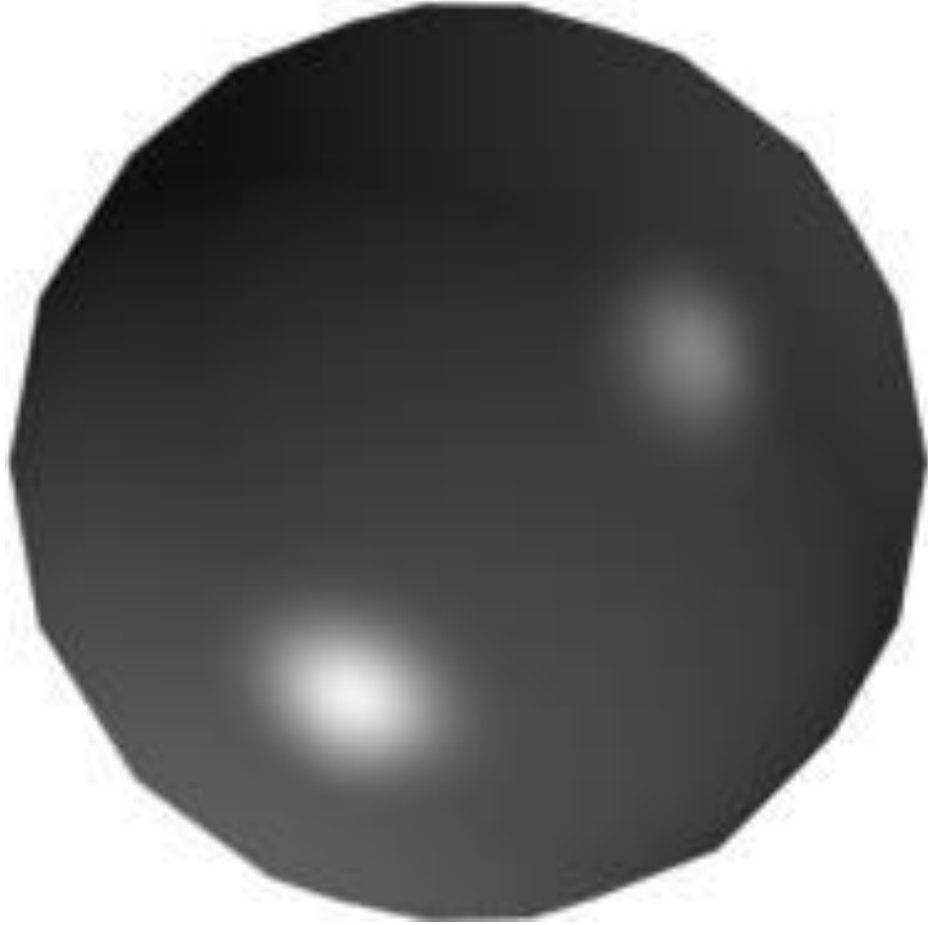
Phong Tea Pot Example

# Phong vs Gouraud Shading

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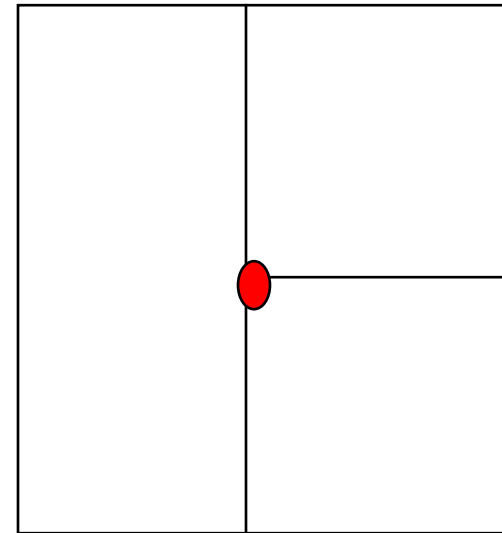
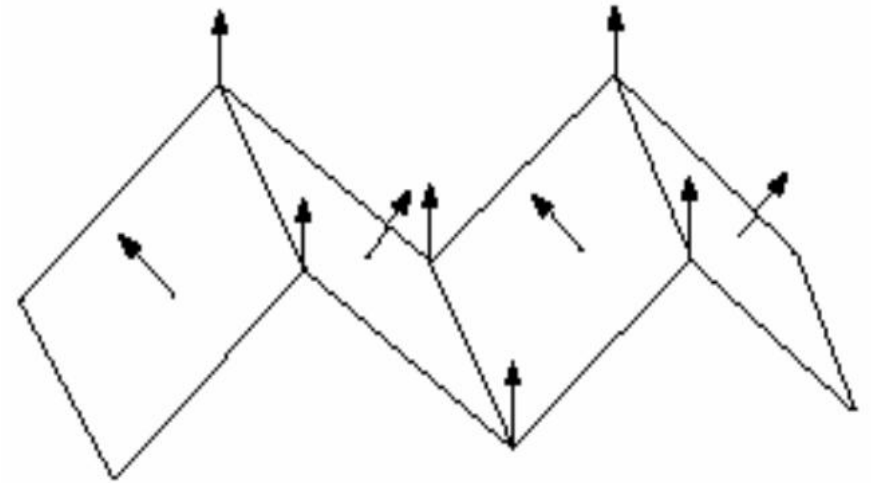
*Gouraud*



*Phong*

# Interpolative Shading artifacts

- ❑ Vertex normal does not always reflect the curvature of the surface adequately
- ❑ Incorrect Vertex normals – no variation in shade
  - Appear less flat than actual
- ❑ The shading at the T-junction are different when calculated from different triangles
  - shared by right polygons and not by one on left
  - Shading discontinuity



# Interpolative Shading artifacts – Mach Bands

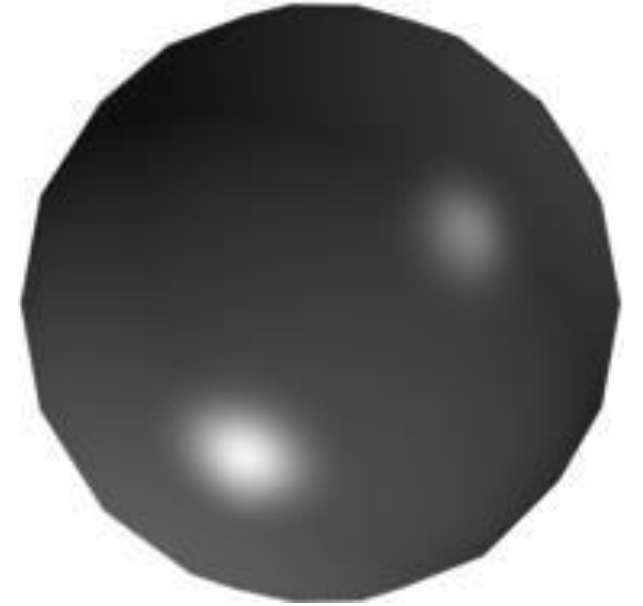
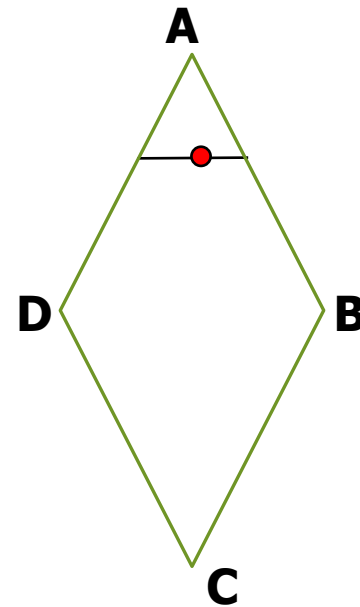
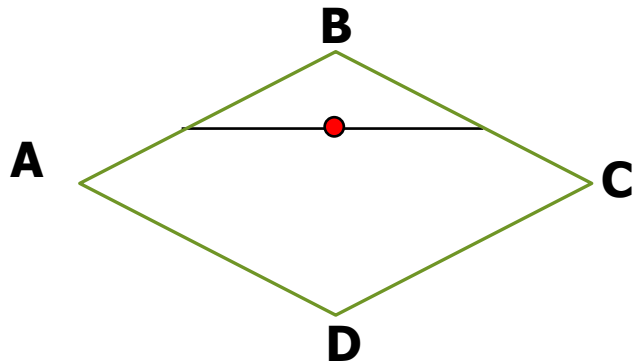
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- ❑ Common in flat shading since shading is discontinuous at edges
- ❑ Also present in Gouraud shading
  - Gradient of the shading may change suddenly
- ❑ Phong shading reduces it significantly
  - But cannot be eliminated
  - At sharp changes in surface gradient



# Interpolative Shading artifacts

- ❑ Polygonal silhouette – edge is always polygonal
- ❑ Perspective distortion – interpolation is in screen space and hence foreshortening takes place
- ❑ In both cases finer polygons can help !
- ❑ Orientation dependence - small rotations cause problems



*Phong*

# Other Types of Per-pixel Shading

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- ❑ Ray tracing.
  - Doesn't use Gouraud or Phong shading.
  - Each pixel uses own ray to determine color.
    - Can apply arbitrary lighting model.
    - Classical (Whitted) ray tracing uses Phong model.
  - Since ray tracing determines colors based on intersections, don't have to use polygonal geometry.
    - Thus, can potentially use exact normals, rather than interpolation.

# Summary

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- ❑ For realistic rendering of polygons we need interpolation methods to determine lighting positions
- ❑ Flat shading is fast, but unrealistic
- ❑ Gouraud shading is better, but does not handle specular reflections very well
- ❑ Phong shading is even better, but can be slow